



Switching Order of RMF / LTF / SSF / ThaiESG between asset management company Form

For Transferor asset management company

Order Date \_\_\_\_\_

I (Mr. / Mrs. / Ms. / Others (Please specify)) \_\_\_\_\_ Name \_\_\_\_\_ Surname \_\_\_\_\_

Unitholder Number \_\_\_\_\_ Mobile Number \_\_\_\_\_

Please specify switching details as below ,

Switch my investment units to TISCO Asset Management Company

Switch my investment units from TISCO Asset Management Company Amount \_\_\_\_\_ Units/Baht  
Amount in words \_\_\_\_\_

Transferor Fund Name \_\_\_\_\_ asset management company Name \_\_\_\_\_

Transferee Fund Name \_\_\_\_\_ asset management company Name \_\_\_\_\_

In case switching investment units to TISCO Asset Management Company

I will submit the completed Switching Order of RMF / LTF / SSF / ThaiESG between asset management company Form to the Transferor asset management company. In order for the Transferor asset management company to transfer the money from units redemption to the designated account **"TISCOASSET MUTUAL FUNDS SUBSCRIPTION ACCOUNT"**

For acknowledgement of the fund risk level which is higher than the unitholder's risk profile

I acknowledge that the mutual fund as specified in this Switching Order of RMF / LTF / SSF / ThaiESG between asset management company Form is the mutual fund with higher risk level than my risk profile whereby TISCO Asset Management Company and/or selling agent has already informed the additional , returns and risk of the fund which I decide to invest and I understand and accept the risk of such investment .

For acknowledgement of the foreign exchange rate risk

I acknowledge that the mutual fund as specified in this Switching Order of RMF / LTF / SSF / ThaiESG between asset management company Form is not fully hedged and there will be foreign exchange rate risk which may cause gains or losses or receive return lower than the initial investment.

Unitholder's Signature \_\_\_\_\_

I agree to switch the investment units as specified in this order and certify to TISCO Asset Management Company (hereinafter referred to as the "Asset Management Company") that:

1. I have received and reviewed the unitholder guide and/or fund prospectus or any other relevant documents pertain to the offering of the investment units , as provided by Asset Management Company.
2. I have been informed of warning and various information of the fund which I desired to invest. e.g. the fund description, investment risks, fees and fund liquidity.
3. I have acknowledged the information regarding the fund's performance as disclosed by Asset Management Company.
4. I hereby authorize Asset Management Company to verify, disclose, and deliver my documents and/or evidence to governmental and/or competent authorities and/or other relevant authorities for the benefit of complying with the Anti-Money Laundering and Combating the Financing of Terrorism and The Proliferation of Weapons of Mass Destructions, rules, and relevant regulations, including any agreement where Asset Management Company has obligations as required to comply with the Anti-Money Laundering and Combating the Financing of Terrorism and The Proliferation of Weapons of Mass Destructions Law or as otherwise required by the applicable laws.
5. In the event this transaction, regarding a subscription, switching, and transferring to a Long-Term Equity Fund (LTF), Retirement Mutual Fund (RMF), Super Savings Fund (SSF), or Thailand ESG Fund (ThaiESG) and aforementioned mutual fund dissolves, I agree to allow Asset Management Company to transfer my investment units to another LTF, RMF, SSF, or ThaiESG (as the case may be) specified in the fund prospectus or as Asset Management Company deems appropriate.
6. I will not revoke the aforementioned switching order in any circumstances unless it's an exercise of the right to revoke such switching order as specified by Asset Management Company or the Securities and Exchange Commission (SEC).
7. In the event that my investment units are allotted, I acknowledge and agree to be bound by the details outlined in the SEC-approved fund prospectus (including any lawful amendments), encompassing the legal commitment between the Asset Management Company and its unitholders.
8. I hereby warrant that the amounts of money I used to invest were not generated by corruption and illegal sources or activities, including but not limited to the Anti-Money Laundering and Combating the Financing of Terrorism and The Proliferation of Weapons of Mass Destructions Law.

Unitholder's Signature \_\_\_\_\_

( \_\_\_\_\_ )

**Warning :** In the event of an investment amount to a Long-Term Equity Fund (LTF), Retirement Mutual Fund (RMF), Super Saving Fund (SSF), or Thailand ESG Fund (ThaiESG) in excess of the tax-benefit limit, it may result in capital gains from redeeming investment units and is subject to tax payment.

For Official Use Only (In case switching investment units to TISCO Asset Management Company)

Bank \_\_\_\_\_ Cheque No. \_\_\_\_\_ Amount \_\_\_\_\_ Baht

Signature \_\_\_\_\_ Marketing Code \_\_\_\_\_ Order date and time \_\_\_\_\_ Payee Name \_\_\_\_\_

Selling Agent Name \_\_\_\_\_ Selling Agent Code \_\_\_\_\_

Investment in mutual funds is not a bank deposit and is subject to investment risks. Unitholder may gain or lose the principal amount invested and Unitholder should invest with authorized representatives. For an investment in an open-ended fund, unitholder may not receive money from redemption investment units within a specified timeframe or may not redeem investment units as requested.



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For Transferee asset management company

Order Date \_\_\_\_\_

I (Mr. / Mrs. / Ms. / Others (Please specify)) \_\_\_\_\_ Name \_\_\_\_\_ Surname \_\_\_\_\_

Unitholder Number \_\_\_\_\_ Mobile Number \_\_\_\_\_

Please specify switching details as below ,

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Switch my investment units from TISCO Asset Management Company Amount \_\_\_\_\_ Units/Baht  
Amount in words \_\_\_\_\_

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3. I have acknowledged the information regarding the fund's performance as disclosed by Asset Management Company.
4. I hereby authorize Asset Management Company to verify, disclose, and deliver my documents and/or evidence to governmental and/or competent authorities and/or other relevant authorities for the benefit of complying with the Anti-Money Laundering and Combating the Financing of Terrorism and The Proliferation of Weapons of Mass Destructions, rules, and relevant regulations, including any agreement where Asset Management Company has obligations as required to comply with the Anti-Money Laundering and Combating the Financing of Terrorism and The Proliferation of Weapons of Mass Destructions Law or as otherwise required by the applicable laws.
5. In the event this transaction, regarding a subscription, switching, and transferring to a Long-Term Equity Fund (LTF), Retirement Mutual Fund (RMF), Super Savings Fund (SSF), or Thailand ESG Fund (ThaiESG) and aforementioned mutual fund dissolves, I agree to allow Asset Management Company to transfer my investment units to another LTF, RMF, SSF, or ThaiESG (as the case may be) specified in the fund prospectus or as Asset Management Company deems appropriate.
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## Unitholder Guide

### **1. The rights of unitholder**

- 1.1 The right to obtain the list of authorized selling agent of TISCO Asset Management Company Limited.
- 1.2 The right to access information regarding the Asset Management Company, including name, address and contact details and the right to obtain information about the underwriter, selling agent and the license number of the authorized sale persons.
- 1.3 The right to receive the information pertaining the investment risk associated with the recommended investment units as well as the detailed warning and the explanation regarding the potential risk involved in the investment.
- 1.4 The right to be informed of the fact that could be expected to impact the investment units or investment decision.
- 1.5 The right to receive the disclosure regarding any potential conflict of interest that may arise, for example fees and the commissions earned by each selling agent.
- 1.6 The right to decline unsolicited sales pitches or cold call from unknown selling agents offering investment product without request or consent.
- 1.7 The right of individual unitholder to cancel your subscription or redemption order within a period specified by law if such order was initiated through a cold call.
- 1.8 The right to request for the fund prospectus from the Asset Management Company or selling agents.

### **2. How to file a complaint**

Unitholder have the right to file complaints regarding mutual fund investments, either verbally or in writing. In the event of verbal complaint, unitholder may request the designated officer to document the complaint in writing and unitholder shall review and sign to certify the accuracy. Unitholder may file the complaint through Contact Center at Tel. 0 2633 6000 press 4 or 0 2080 6000 press 4.