



Switching Order Form

Date
Order No.....

Unitholder Name
Unitholder Number Telephone Number

Asset Management Company Name
Switching from Fund
Switching to Fund

Please input the amount to switch

Amount in Baht	Baht	Number of Units	Units
Amount in words			

For acknowledgement of the risk level which is higher than the risk profile

I acknowledge that the stated fund in this switching order form contains the risk level higher than my risk profile whereby the investment consultant has already informed the additional information of the fund, returns and risk of the fund which I decide to invest and I understand and accept the risk of such investment.

For acknowledgement of the foreign exchange rate risk

I acknowledge that the stated fund in this switching order form is not fully hedged and there will be foreign exchange rate risk associated with this stated fund. In this regards, I may gain loss or profit from the foreign exchange rate or may receive the investment amount less than the principle amount.

Signature.....Unitholder

I agree to switch the investment units as specified in this order form and hereby confirm and undertake to the Asset Management Company / TISCO Bank that:

1. I have already received and examined the investor guide, the fund prospectus or other documents relating to the offering of the investment units that the Asset Management Company or TISCO Bank has provided.
2. I have already acknowledged any warning notices and any disclosure of information; for instance, the fund description, the risk of investment in mutual fund, the fee, and the liquidity of the fund.
3. I have already received the information related to the fund performance which disclosed by Asset Management Company or TISCO Bank.
4. I hereby consent the Asset Management Company or TISCO Bank to verify, disclose and/or send my personal document and/or evidence to Anti-Money Laundering Office and/or other government agencies or agents for the purpose of anti-money laundering and combating the financing of terrorism in compliance with anti-money laundering and combating the financing of terrorism laws, including regulation and guidelines and/or any legally agreement in relation with Asset Management Company or TISCO Bank and its third party service providers that contains the purpose about anti-money laundering and any relating to the domestic and international law.
5. If this is a transaction in relation to the subscription/switching/transfer-in order for a long term equity fund (LTF) or a retirement mutual fund (RMF) or a super saving fund (SSF) and there is an event that leads to dissolution of such fund, I (the unitholder) hereby agree to allow Asset Management Company to switch my investment units into another LTF fund or RMF fund or SSF Fund (as the case may be) according to terms stated in the fund prospectus or as deemed fit by asset management company.
6. If this is a transaction in relation to the subscription/switching/transfer-in order for a fund with an auto-redemption feature, by this order, I hereby place an order in advance to redeem / switch-out investment units in the amount that enable the fund to proceed with my redemption or switch-out order automatically as scheduled under terms described in the fund prospectus.
7. I agree to switch the investment units as stated above, and shall not cancel/revoke the switching specified in this order unless such a cancellation or revocation is made pursuant to rules and regulations prescribed by the Asset Management Company or SEC.
8. In the event that I have been allocated investment units, I hereby acknowledge and agree to be bound by details specified in the fund prospectus which has been approved by SEC (including any amendment by law), including the lawful commitment between the Asset Management Company and unitholders.
9. I hereby represent that the money invested in this fund does not come from corruption activities and/or any illegal sources or activities which violate the laws including but not limited to Anti-Money Laundering Laws, Counter-Terrorism Laws.
10. I agree and acknowledge that the Asset Management Company reserves the right to refuse my order and/or to force redemption of my investment units, if I fail to abide by the agreements under this document or any other documents provided to asset management company, or if my confirmation and representation in such document is no longer valid or if the foreign fund requests the Asset Management Company to force the redemption of the investment units in order to comply with the policy set by such foreign fund.
11. I agree and acknowledge that the front-end fee for the group of investors or individual investor shall be collected in a different rate. Unitholders shall study for more information from Asset Management Company's notice board and website or at TISCO Bank or the fund prospectus.

Signature.....Unitholder

Warning: In the event that the investment amount in Long Term Equity Fund (LTF) or Retirement Mutual Fund (RMF) or Super Saving Fund (SSF) exceeds the amount which received tax privilege, there may be tax levied on the capital gains from the redemption of such excessive amount.

For Officer Use Only Customer's Passport No./ID card No..... Customer's Passport/ID card Expiry date

Marketing Name Marketing Code Date.....Time.....

Company/Selling Agents..... Company Code /Selling Agents Code

“The investment in mutual funds is not a deposit and contains the investment risk. Unitholders may receive their investment amount more or less than their principle amount. Unitholders should execute the investment transaction with the authorized personel. For the investment in open-ended funds, unitholders may not receive the redemption proceeds within the specified period and may not redeem the investment unit as the ir order.”

Investor Guide

1. The rights of investor

- 1.1 The right to know the list of the Asset Management Company which authorized to be the selling agent of TISCO Bank Public Company Limited and/or the list of TISCO Asset Management Company Limited's selling agent.
- 1.2 The right to receive the information related to the name and address of the Asset Management Company, the underwriter, the broker and/or the dealer including the name, address and the license number of the officer who is the selling agent.
- 1.3 The right to receive the information related to the investment risks of the recommended investment units as well as the warning and the explanation of the investment risks.
- 1.4 The right to know about the fact that may significantly affect investor's benefit or investment decision.
- 1.5 The right to receive the information related to the conflict of interest such as the fees and the commission earned by each selling agent.
- 1.6 The right to reject a "cold calling" from unknown selling agent who offers the funds without your request.
- 1.7 The right of individual investor to cancel your subscription or redemption order within a period specified by law if such order was made through a "cold calling"
- 1.8 The right to request for the fund prospectus from the Asset Management Company or the selling agent.

2. How to File a complaint

Unitholders may file a complaint on your investment in the mutual fund verbally or in writing. In the event that the complaint is made verbally, unitholders can request the officer to record the complaint in writing and unitholders shall review and sign on such complaint in writing to verify the correction. Unitholders may file the complaint through Contact Center 02 633 6000 or all branches of TISCO Bank Public Company Limited.