Switching Order Form

Date _____

Order No. _____

I (Mr. / Mrs. / Ms. / Others (Please specify)) _____ Name _____ Surname _____

Unitholder Number _____ Mobile Number _____

Transferor Fund Name _____

Transferee Fund Name _____

Switching Amount _____ Bath Unit Amount _____ Units

Amount (in words) _____

For acknowledgement of the fund risk level which is higher than the unitholder's risk profile

☐ I acknowledge that the mutual fund as specified in this order is the mutual fund with higher risk level than my risk profile whereby TISCO Asset Management Company Limited and/or selling agent has already informed the additional information of the fund, returns and risk of the fund which I decide to invest. And I understand and accept the risk of such investment.

For acknowledgement of the foreign exchange rate risk

☐ I acknowledge that the mutual fund as specified in this order is not fully hedged and there will be foreign exchange rate risk which may cause gains or losses or receive return lower than the initial investment.

Unitholder's Signature _____

I agree to switch the investment units as specified in this order and certify to TISCO Asset Management Company Limited that :

1. I have received and reviewed the unitholder guide and/or fund prospectus or any other relevant documents pertain to the offering of the investment units, as provided by TISCO Asset Management Company Limited .
2. I have been informed of warning and various information of the fund which I desired to invest. e.g., the fund description, investment risks, fees, and fund liquidity.
3. I have acknowledged the information regarding the fund's performance as disclosed by TISCO Asset Management Company Limited .
4. I hereby authorize TISCO Asset Management Company Limited to verify, disclose, and deliver my documents and/or evidence to governmental and/or competent authorities and/or other relevant authorities for the benefit of complying with the Anti-Money Laundering and Combating the Financing of Terrorism and The Proliferation of Weapons of Mass Destructions, rules, and relevant regulations, including any agreement where TISCO Asset Management Company Limited has obligations as required to comply with the Anti-Money Laundering and Combating the Financing of Terrorism and The Proliferation of Weapons of Mass Destructions Law or as otherwise required by the applicable laws.
5. In the case where this transaction is a subscription, switching, or transferring of investment units in a Retirement Mutual Fund (RMF), Thai ESG Fund (ThaiESG), or Thai ESG Fund Extra (ThaiESGX), and such fund is required to be terminated, I hereby consent to the TISCO Asset Management Company Limited transferring the investment units to another mutual fund, in accordance with the conditions specified in the prospectus or as deemed appropriate by the TISCO Asset Management Company Limited .
6. If this is a transaction in relation to the subscription/switching/transfer-in order for a fund with an auto-redemption feature, by this order, I hereby place an order in advance to redeem / switch-out investment units in the amount that enable the fund to proceed with my redemption or switching out order automatically as scheduled under terms described in the fund prospectus.
7. I agree to transact the investment units as specified in this order, and I will not revoke this order in any circumstances unless it is an exercise of the right to revoke such order as specified by the TISCO Asset Management Company Limited or the Securities and Exchange Commission (SEC).
8. In the event that my investment units are allotted, I acknowledge and agree to be bound by the details outlined in the SEC approved fund prospectus (including any lawful amendments), encompassing the legal commitment between the TISCO Asset Management Company Limited and its unitholders.
9. I hereby warrant that the funds utilized for this investment were not derived from any corrupt, illegal or unlawful sources or activities, including but not limited to funds obtained from acts in violation of the Anti-Money Laundering and Combating the Financing of Terrorism and The Proliferation of Weapons of Mass Destructions Law.
10. I agree and acknowledge that the TISCO Asset Management Company Limited reserves the right to refuse my order and/or to force redemption of my investment units without prior notice, if I fail to abide by the agreements under this document or any other documents provided to TISCO Asset Management Company Limited , or if my representations and warranties in such documents are untrue or if the foreign fund requests the TISCO Asset Management Company Limited to force the redemption of the investment units in order to comply with the policy of that foreign fund.
11. I agree and acknowledge that the front-end fee for the group of investors or individual investors may be collected at different rates. And I shall study for more information from the TISCO Asset Management Company Limited 's notice board or the TISCO Asset Management Company Limited 's website or the fund prospectus.
12. I acknowledge that I have received investment advice that is appropriate to my acceptable risk level and the result of the investor classification, including receiving investment recommendations for products offered to general investors. I further acknowledge that should there be any changes to my investor classification, TISCO Asset Management Company Limited will notify me accordingly.

Unitholder's Signature _____

Warning : In the event of an investment amount to a Retirement Mutual Fund (RMF), Thailand ESG Fund (ThaiESG), or Thailand ESG Fund Extra (ThaiESGX) in excess of the tax-benefit limit, it may result in capital gains from redeeming investment units and is subject to tax payment.

For Official Use Only

Signature _____ Marketing Code _____ Order date and time _____

Selling Agent Name _____ Selling Agent Code _____

Investment in mutual funds is not a bank deposit and is subject to investment risks. Unitholder may gain or lose the principal amount invested and Unitholder should invest with authorized representatives. For an investment in an open-ended fund, unitholder may not receive money from redemption investment units within a specified timeframe or may not redeem investment units as requested.

Unitholder Guide

1. **The rights of unitholder**

1.1 The right to obtain the list of authorized selling agent of TISCO Asset Management Company Limited.

1.2 The right to access information regarding the TISCO Asset Management Company Limited , including name, address and contact details and the right to obtain information about the underwriter, selling agent and the license number of the authorized sale persons.

1.3 The right to receive the information pertaining the investment risk associated with the recommended investment units as well as the detailed warning and the explanation regarding the potential risk involved in the investment.

1.4 The right to be informed of the fact that could be expected to impact the investment units or investment decision.

1.5 The right to receive the disclosure regarding any potential conflict of interest that may arise, for example fees and the commissions earned by each selling agent.

1.6 The right to decline unsolicited sales pitches or cold call from unknown selling agents offering investment product without request or consent.

1.7 The right of individual unitholder to cancel your subscription or redemption order within a period specified by law if such order was initiated through a cold call.

1.8 The right to request for the fund prospectus from the TISCO Asset Management Company Limited or selling agents.

2. **How to file a complaint**

Unitholder have the right to file complaints regarding mutual fund investments, either verbally or in writing. In the event of verbal complaint, unitholder may request the designated officer to document the complaint in writing and unitholder shall review and sign to certify the accuracy. Unitholder may file the complaint through Contact Center at Tel. 0 2633 6000 press 4 or 0 2080 6000 press 4.